United Nations Development Programme

United Nations Office for South-South Cooperation

Country: Global

Project Document – Revision 14

Project Title	**Fund Management Quality Assurance Project**
UNOSSC Strategic Framework Outcome(s):	*3. Innovative South-South, triangular and public-private partnership modalities established and strengthened to secure in-kind and financial resources for supporting the scaling up of the development impact for South-South and triangular cooperation.*
Expected Output(s):	*2. Southern partners possess the institutional mechanisms and capacities to initiate, manage, coordinate and implement South-South cooperation and triangular cooperation initiatives efficiently and effectively, and* *3. Innovative South-owned and multi-partner initiatives lead to the scaling up of the development impact of South-South cooperation and triangular cooperation.*
Executing Entity:	**UNOSSC (DIM)**
Implementing Agencies:	**UNDP COs, UN Agencies, G77**

Brief Description

This substantive revision extends the currently approved UNOSSC Global DIM Quality Assurance project, providing the fund management quality assurance services to the 3 trust funds under UNOSSC's management. In particular, the revision consolidates other existing outputs under the operational and legal frameworks of the IBSA Quality Assurance project, including the Perez-Guerrero Trust Fund "Support to the Committee of Experts" activity, as well as the quality assurance activities under projects funded through the UNFSSC, such as the South-South Development Center (SSDC) Quality Assurance project, or the UN-Secretariat funded activities of the Global South-South Development Academy and established Fund Management roles and functions.

The revised Fund Management Quality Assurance Project maintains the same outcome of supporting fund management effectiveness (i.e. support to formulation, monitoring, reporting, assessments, evaluations, audits, governance processes, quality enhancements, communications, cross-portfolio activities and specific considerations). The quality assurance activities for each of the 3 trust funds remain separate and distinct, each under a different output ID and under their respective trust fund financing.

This revision responds to the Trust Fund Manager TOR signed in acceptance of responsibilities for the 3 Funds under UNOSSC's management, the UNOSSC Strategic Framework outcome 3 on UNFSSC/IBSA/PGTF funds, and discussions with BPPS on best ways to integrate the functions as planned in the currently approved document. It also responds to the UNDP/UNOPS Executive Board decision DP/2013/41 establishing transitional funding of management activities through use of cost recovery (XB funding) to support the activities that generated it.

Programme Period	2009-2017	Total resources planned (2015-2017)	1,633,731
Key Result Area (Strategic Framework):	3		
Atlas Award ID:		Total allocated resources:	
		• Regular	100,000
Start date:	April 2009	• Other:	
End date:	December 2017	○ IBSA	918,731
		○ PGTF	75,000
PAC Meeting Date:	12 March 2015	○ UNFSSC	240,000
		○ 11300	100,000
Management Arrangements:	Global DIM	Unfunded budget	200,000

Agreed by UNOSSC:

I. SUMMARY OF PROJECT REVISION

Background

Approved in 2009, the IBSA Quality Assurance Project has evolved into a highly robust model of fund management quality assurance activities. It has supported the development, monitoring and reporting of a portfolio that has been highly praised by the 3 Heads-of-State of IBSA countries, the UN Secretary General, the President of the UN General Assembly and the UNDP Administrator.

Since UNOSSC has consolidated management of the 3 trust funds currently entrusted to this office under a single fund manager and signed the Trust Fund Manager TOR, confirming its responsibilities overseeing the activities of these 3 funds, the need to consolidate fund management tools under a single project has been consistently considered. Upon closing of the 2014 financials and revision of the first year of the UNOSSC Strategic Framework (2014-2017), this idea was discussed with BPPS and with key partners.

This substantive revision extends the currently approved UNOSSC Global DIM Quality Assurance project, creating two new outputs under the same Award ID (in line with UNDP Programme Operations Policies and Procedures (POPP)). All outputs maintain the same fund management quality assurance outcome of the original project document and the successive direct implementation approvals. Programmed quality assurance activities are in line with those outlined in POPP for the Quality Assurance functions TOR.[1]

The revision intends to bring about cost-savings by consolidating functions required to meet the Fund Manager TOR responsibilities for the 3 entrusted funds into a coordinated management tool.

Introduced Changes

This revision:

1. Consolidates under a single management tool (the Fund Management Quality Assurance Project) the quality assurance functions currently dispersed under various Umbrella/Fund-level and project-level projects to support IBSA, UNFSSC and PGTF quality assurance activities;

2. Consolidates under the project formerly known as "IBSA Quality Assurance" three outputs:

    a. One for UNFSSC quality assurance initiatives (transferred, currently under the South-South Development Center (SSDC) Quality Assurance project, or the Global South-South Development Academy UN-Secretariat financed activities.)

    b. One for the PGTF "Support to the Committee of Experts" annual activity (transferred, currently under PGTF); and

    c. Another for South-South Fund Management and Innovative Financing support functions (transferred, currently from SSDA).

    Resources and activities under each of these 4 Outputs are to remain separate and distinct and not be commingled.

3. Activities transferred into this project by the current revision will be phased-in gradually throughout 2015-2017, as resources are approved by respective governance bodies, and as new contracts required.

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[1]https://intranet.undp.org/country/rba/mr/intra/programme/_layouts/WopiF rame2.aspx?sourcedoc=/country/rba/mr/intra/programme/Suivi_Evaluation/Pr oject%20Board%20TORs.docx&action=default&DefaultItemOpen=1

II. RESULTS AND RESOURCES FRAMEWORK

Intended Outcome as stated in the UNOSSC Strategic Framework (2014-2017):

3. Innovative South-South, triangular and public-private partnership modalities established and strengthened to secure in-kind and financial resources for supporting the scaling up of the development impact for South-South and triangular cooperation.

Outcome indicators as stated in the Country Programme Results and Resources Framework, including baseline and targets:

3.1.1: Amount of funds available at the global level through the United Nations Fund for South-South Cooperation, the IBSA and PGTF funds for supporting the scaling up of proven solutions. (Target: $14,000,000 (70% of other)).

Applicable Key Result Area (from UNOSSC Strategic Framework 2014-2017): Innovative South-owned and multi-partner initiatives lead to the scaling up of the development impact of South-South cooperation and triangular cooperation.

Partnership Strategy: QA oversight functions which cannot be delegated to partners; PGTF activities are advanced in partnership with the G77.

Project title and ID (ATLAS Award ID): Fund Management Quality Assurance # 00058510

INTENDED OUTPUTS		INDICATIVE ACTIVITIES	RESPONSIBLE PARTIES	INPUTS
Output 1 Quality of the IBSA Fund Portfolio ensured and the impact of its projects and grants, and their timely delivery of poverty and hunger alleviation improved. Baseline: Successful portfolio of 21 projects highly praised by IBSA and partners as a beacon of South-South Cooperation. Indicators: Projects received support to formulation, monitoring, reporting, assessments, feasibility studies, cross-portfolio analysis, communications, audits and evaluations, which enhance the robustness of the portfolio.	1	Timely formulation, monitoring, reporting, follow-up and evaluation of IBSA projects and pipeline projects.	UNOSSC Implemented	- $ 918,731.01 (already allocated by IBSA – 2015-2016) - $200,000 to be mobilized/alloc ated by IBSA Fund Board.
	2	Incorporation of South-South cooperation, IBSA and project-specific partners' concerns in each pipeline project.		
	3	Cross-portfolio coordination among IBSA projects and initiatives.		
	4	Coordination of IBSA projects with priorities and programmes on the ground.		
	5	Other activities to advance, enhance the design or implementation, or ensure the viability, consistency over time and continuity of IBSA projects and pipeline projects.		
	6	Disbursement of small grants for projects supporting IBSA objectives.		
	7	Exploration and identification of suitable partners for South-South cooperation initiatives.		
	8	Advancement of partnerships with relevant implementing agencies, experts and institutions, preferably from the South.		
	9	Coordination with country offices, national governments and other partners and initiatives on the ground.		*Note: No change in Output 1*

Output 2 Quality of the UNFSSC Portfolio ensured and the impact its projects and grants improved. Baseline: UNFSSC operational implements SSC projects mostly through country-lead or thematic facilities. Indicators: Projects received support to formulation, monitoring, reporting, assessments, feasibility studies, cross-portfolio analysis, communications, audits and evaluations, which enhance the robustness of the portfolio.	Given the umbrella and diverse nature of UNFSSC, under this Fund Management Quality Assurance Project, quality assurance applies only to UNFSSC projects that request the Fund Manager's support or are under his/her management. (i.e. Activities do not cover UNFSSC projects for which the project manager does not require QA services). 1 Support to formulation, monitoring, reporting, follow-up and evaluation of UNFSSC projects and pipeline projects 2 Incorporation of South-South cooperation, UNFSSC and project-specific partners' concerns in pipeline projects. 3 Coordination of UNFSSC projects with priorities and programmes on the ground, region or global activities. 4 Other activities to advance, enhance the design or implementation, or ensure the viability, consistency over time and continuity of UNFSSC projects and pipeline projects. 5 Disbursement of micro-capital grants for projects supporting UNFSSC objectives. 6 Exploration and identification of suitable partners for South-South cooperation initiatives. 7 Advancement of partnerships with relevant implementing agencies, experts and institutions, preferably from the South. 8 Coordination with country offices, national governments, UN agencies and other partners and initiatives on the ground.	UNOSSC Implemented	$ 240,000 (already allocated by CICETE and/or UN Secretariat under UNFSSC Quality Assurance activities (e.g. SSDC and SSDA). *Note: Transferred from SSDC Mgt Effectiveness*
Output 3 Committee of Experts of PGTF enabled to advance and monitor a quality portfolio of SSC initiatives. Baseline: PGTF operational since 1984, provides several small grants per year, per recommendations of Committee of Experts that monitors implementation. Indicators: Committee of Experts successfully advances pipeline development, new project approvals, monitoring and reporting.	1 Support the yearly meeting of the PGTF Committee of Experts to: review the Trust Fund finances, monitor progress in the portfolio of project, exercise oversight, re-assess trust fund processes, review proposals and recommend approvals among pipeline initiatives.	Group of 77 and China (G77)	$75,000 (representing approvals for the Committee of Experts activities 2015-2017). *Note: Transferred from PGTF*

Output 4		UNOSSC Implemented	- $ 100,000 11300 - $ 100,000 Regular
UNFSSC, IBSA and PGTF effectively managed to secure in-kind and financial resources for supporting the scaling up of the development impact for South-South cooperation. Baseline: IBSA, UNFSSC and PGTF managed by UNOSSC in line with UN/UNDP rules and regulations. Indicators: Effective management of UNFSSC, IBSA and PGTF secures resources and advances initiatives scaling up of the development impact for SSC.	*Quality Assurance* 1 Establish quality criteria, quality assurance standards, measurements and methods for the Fund's portfolio; 2 Continuously assess programme quality and improvements against quality performance measures; 3 Ensure risks and issues are properly managed; critical information is monitored and updated; *Programme Management* 4 Contribute to the multi-stakeholder development, formulation and refinement of new pipelines and programmes, 5 Secretariat services for Board of Directors and experts; 6 Build and manage partnerships for fund development and implementation, liaise with stakeholders and advance or backstop joint-activities; *Financial and Operational Management* 7 Manage trust fund resources in line with UNDP rules and regulations, 8 Ensure resources are contributed to the fund, funds are correctly allocated and available to projects, *Monitoring and Reporting* 9 Design, or review and advice, on project proposals M&E strategies/tools and results frameworks; 10 Continuously monitor progress and assess the performance of individual projects and trust fund portfolios; 11 Ensure project quarterly reports and final reports are prepared and submitted to the Fund Board; 12 Perform oversight activities, such as periodic monitoring visits and spot checks; 13 Assist in the preparation of evaluations and audits. *Communications* 14 Serve as focal point for trust fund inquiries and communications; 15 Draft and produce trust fund annual reports; 16 Document and disseminate best practices and results of the funds through information and communication products; including exhibitions, printed materials, videos, press releases, website and other. *Development and Resource Mobilization* 17 Promote the development of new SSC funds and initiatives.		*Note: No change in Output 4 (transferred from SSDA)*

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OFFLINE RISK LOG

Project Title: Fund Management Quality Assurance	Award ID: # 00058510	Date: 2 March 2015

#	Description	Type	Impact (I) & Probability (P)	Countermeasures / Mngt response	Owner
1	Weak feasibility or design of initiatives implies quality assurance activities will not be sufficient to result projects meeting UN/UNDP programme quality standards.	Operational Strategic	Inherent weaknesses in project design might not be resolved through quality assurance activities. P = 1 I = 4	Early assessments and programming support to ensure quality proposals; Re-design of initiatives; Intensified communication among stakeholders to ensure development and implementation of quality initiatives	UNOSSC
2	Fund-level quality assurance support is not welcomed or obstructed by specific project managers	Organizational	Quality enhancements can be substantially distorted or diverted if there is no good communication/cooperation between Fund Manager and project managers. P = 1 I = 4	Intensify communications with project team; Fund Manager oversight visits; Support of independent evaluators/auditors.	UNOSSC
3	No consensus can be reached on quality criteria, methods or measurements, resulting in stakeholders wishing to advance in different directions to ensure project/portfolio quality.	Organizational Political	Quality standards have subjective elements and their interplay reflects priorities, and sometimes political positions (in particular a debate is on-going with important tension of SSC effectiveness, measurements and quality). This can result in differences of opinion among stakeholders and sensitivities. P = 3 I = 1	Avoid sensitive language, detach quality assurance activities from current political debates and intensify stakeholder dialogue on project level issues.	UNOSSC
4	Changed circumstances, assumptions or actors affect the relevance or correctness of quality criteria, methods used and programmed activities.	Environmental Operational	Quality criteria and factors such as relevance, risk monitoring, ownership, sustainability, effectiveness can be altered by changed circumstance or stakeholders. P = 1 I = 1	Review, up-date and/or re-direct quality assurance activities.	UNOSSC